

<u>Federal Motor Carrier Safety Administration</u> Information System Division



Effective January 3, 2005

Effective January 3, 2005, the following new features will appear in the MCMIS

<u>Hazardous Material Safety Permit Program</u>

- The Federal Motor Carrier Safety Administration has established a national Hazardous Materials Safety Permit (HMSP) program for motor carriers that transport specific hazardous materials in interstate, intrastate or foreign commerce, effective January 1, 2005. The issuance of an HMSP is based on the safety fitness history of the applying motor carrier and will be granted to hazardous materials motor carriers that meet or exceed the required conditions.
- Motor carriers seeking an HM safety permit will be able to register online with FMCSA using the new form MCS-150B. MCMIS will then process the application and grant the HM safety permit if the motor carrier meets or exceeds the required conditions. In order to give motor carriers advanced notice, we placed the MCS-150B on the SAFER website (http://safer.fmcsa.dot.gov) and at the FMCSA website (http://www.fmcsa.dot.gov) in early December 2004.
- The MCS-150B is a combination of the current MCS-150 with additional questions related to motor carriers who transport hazardous materials. All of the new HM Permit question are required and must be completed or the application will be rejected.
- To add or modify an HM carrier's record in MCMIS, the MCS-150B is required. There will be an edit check on the "Hazardous Materials transported" field to monitor carriers that transport any of the specific types of hazardous materials that require an HM Permit. If the motor carriers indicate they transport one or more of the four types of hazardous materials that require an HM safety permit, then the new HM safety permit questions must be completed.
- Existing Hazardous Materials motor carriers in the MCMIS must file the MCS-150B according to their biennial update process which is based on the last two digits of their USDOT number.

- There will be a new tab called "HM Permit fields" under the "View Company Record" to display the new HM Permit data.
- If a carrier indicates that they are required by a State to have a permit but checks "no states," or checks "states" but does not indicate that they are required by a State, then they will receive a fatal error message.
- After a record is added or modified, the confirmation page will display the status of the motor carrier's HM permit.
- Reviews uploaded to MCMIS on Intrastate HM motor carriers will now display
 the Intrastate Safety Rating assigned by the States. The Intrastate safety rating
 will be used internally for the HM Safety Permit process. The Intrastate ratings
 will NOT show in SAFER and FMCSA will NOT send safety rating letters to
 intrastate motor carriers.
- If a hazardous materials motor carrier decides to use the new FMCSA Registration page to add or modify its record, they will receive the following new edit checks:
 - If a carrier who already has an HM Safety Permit attempts to file a biennial update, they will be directed to update their MCS-150B instead of the MCS-150.
 - o If a motor carrier HM Permit has been revoked and they attempt to update their MCS-150B before 365 days have passed from the revocation date the application will be rejected.
 - o If a carrier attempts to update their MCS-150B but has no HM Permit, the application will be rejected.
- Temporary HM Safety Permits will be issued to HM motor carriers who have not had a compliance review.
- For existing carriers, the HM permit will not be issued until a carrier files the biennial update. A carrier may apply but the "effective date" of the permit will be their biennial update date.
- HM Safety Permits will not be granted to an Interstate or Intrastate carrier if the carrier
 - o does not have a satisfactory safety rating
 - o has a crash rate in the top 30% of the national average
 - o has an inspection out-of-service rate in the top 30% of the national average
 - o is not registered with the Research and Special Programs Administration (RSPA).
- FMCSA does not consider a single crash to be statistically valid. Crash rates are only calculated for carriers with more than one crash in the previous 12 months.

- FMCSA does not consider a single OOS inspection in any category to be statistically valid. Carriers with only one OOS inspection will be issued a permit, regardless of the overall OOS percentage.
- The following new Hazardous Materials Safety Permit (HMSP) letters will be generated in MCMIS for the HM Safety Permit Process. These letters can be found under the letters tab in MCMIS under "HM Permit":
 - o New Entrant HMSP Letter
 - HMSP Letter
 - o HMSP Reinstate Letter
 - HMSP Revoke Letter
 - o HMSP Suspend Letter
 - o Temporary HMSP Revoke Letter
 - o Temporary HMSP Letter

Monitoring (To get to this page, choose "Monitoring System" from the drop down list in MCMIS)

- The monitoring page was reorganized to show five separate tabs: Statistics, Assign, Upload/Authorize, Refusals and Contacts. Listed below are the different pages that will be stored under each tab:
 - Statistics
 - New Entrant Statistics
 - New Entrant Reapplication Statistics
 - Removal from New Entrant Program Statistics
 - New USDOT # added to MCMIS
 - Safety Audit Statistics
 - Compliance Review Statistics
 - Cargo Tank Review Statistics
 - Cargo Tank Application Statistics
 - HM Permit Statistics
 - o Assign
 - US & Canadian Carrier New Entrant Safety Audits
 - Mexican Carrier Safety Audits
 - Compliance Reviews
 - Cargo Tank Reviews
 - View Safety Audit/Review Assignments
 - Assignment Tracking
 - o Upload /Authorize
 - Uploaded Safety Audits/Reviews
 - Authorize Safety Audit/Reviews
 - Review Safety Audit/Reviews

- View Current Disapproved Safety Audits/Reviews
- o Refusals:
 - Enter Safety Audit Refusal or Could Not Contact information for USDOT Number
- o Contact:
 - Enter New Entrant contact date for USDOT Number
- Monitoring process for HM Safety Permit motor carriers
 - New Entrant HM motor carriers will not show on the Safety Audit Assign screens because a compliance review must be conducted on all new entrant HM motor carriers.
 - o Review Assign screens will have a new option to select those HM Permit carriers scheduled for a compliance review.
 - New statistical reports screens were created to monitor the HM Safety Permit motor carriers.
- Added a new tab to the "Monitoring" page to link to the MCSIP monthly action reports.

<u>Contact page for New Entrants:</u> (To access this page, select the "Contact" tab on the Monitoring page.)

• A comment field was added to the "No Contact" screen for New Entrant motor carriers. This will assist the field in adding any comments regarding why contact was not made with a motor carrier.

Assign: (To access this page, select the "Contact" tab on the Monitoring page.)

- The USDOT number search functions have been moved to the top of the view assignment module.
- The assignment process will have a new function to assign Safety Audits to Mexican motor carriers.
- A notice has been added to the Compliance Review Assignment process to inform users that a Safety Audit has been assigned before a CR can be assigned.
- The Compliance Review Assignment process will include Mexican motor carriers.

New Entrant Statistics: (To access this page, select the "Statistics" tab on the Monitoring page.)

- To assist Division Administrators determine which safety audits failed, the safety audit statistics screen will display totals and drill-downs for all pass and fail safety audits.
- A new option was added to the Safety Audit Statistics page to list new entrants that are within 1 month, 3 months, or over 18-months of their monitoring period and a Safety Audit or Compliance Review has not been conducted.

<u>Cargo Tank Facility Process:</u> (There are two ways to get to this page, select "Company Information" Subsystem from the drop-down list for modifying, or select "Add Cargo Tank" from the drop-down list for adding Cargo Tank information.)

- If the company has only a Cargo Tank facility, updates to the Cargo Tank facility will also update the Census (registration data). However, if a company has more than one Cargo Tank facility, it will only update the specific Cargo Tank facility data, and not the registration data.
- A new remarks section was added to the Cargo Tank Entry/update web pages to allow entry of any remarks regarding the CT records.
- There is a new check box option called "component manufacturer" for functions performed at Cargo Tank facilities.
- When entering "R" or "U" stamp information in MCMIS, there will be an edit check to determine the difference between authorization and expiration of the "R" or "U" stamp for cargo tank manufacturers.
- The USER id of the person who added or approved the registration process has been added to the cargo tank facilities history screens for cargo tank facility records.
- A new function was added to allow Cargo Tank Facility companies and our field offices to generate "on demand" Cargo Tank Personal Identification Number (PIN) letters. Users can request a PIN for a facility or for all facilities for a USDOT number.

NAFTA Changes:

The following changes have been implemented to process Mexican carriers as outlined in the NAFTA regulations. Use of some of these functions is contingent on the opening of the border.

• The Mexican carrier Monitoring Program was moved from the Licensing and Insurance system to MCMIS.

- An edit check was implemented to prevent users from changing the address on MX motor carriers that have completed the Mexican carrier application process.
- A new Mexican Carrier letters tab was added to the Letters function in MCMIS.
- The following new letters will be generated in MCMIS for Mexican motor carriers:
 - o Satisfactory Safety rating letter
 - o Conditional Safety rating letter
 - o Unsatisfactory Safety Rating letter for
 - o Safety Audit Commercial Zone (OP2) Pass Letter
 - o Safety Audit Commercial Zone (OP2) Fail Letter
 - o Safety Audit Long Haul (OP1) Fail Letter

Third Party Auditors

- Restricted access was given to third party auditors and their supervisors.
- Division Administrators will have the ability to assign Safety Audits to Contractors.
- Changes were made to the system to require a safety audit to be opened to verify the information submitted on audits conducted by third party auditors.
- Modified the MCMIS Safety Investigators table to accept "XX" as the leading two characters to be assigned to the Safety Investigator code to indicate Third Party Auditors.
- Modified the system to allow the supervisors of the third party auditors to see all Safety Audits assigned to the staff in any Division.

SAFESTAT-MCSIP Process and Monthly Report Changes

- The "Hot List" section was changed based on HM70 Category in lieu of using the regular SS Category.
- The Review Assign "Hot List" was changed based on the HM70 Category and not the regular SS Category.
- Changed logic in the monthly action PDF report for the New York county grouping reports to include Canadian carriers.

- Added a new column to the monthly reports to identify the "out of service" (OOS)
 code used to indicate the carrier is prohibited from operating (e.g., UNF, FOP,
 etc).
- Changed the system to allow users to select and display carriers in the following monthly action categories:
 - o Carriers in Category A/B for 6 Months with no CR in 18 Months
 - o Carriers Approaching 6 Months in Category A/B with no CR in 18 Months Recommended for CR
 - Carriers sent a Warning Letter Unless Recommended MCSIP Step is changed
 - o Carrier Entering 6 Month Improvement Period
 - o Carriers evaluated by SafeStat with Proposed Unsat Rating
 - o Carriers under OOS/Registration Sanctions
 - o Invalid MCSIP Step
 - Modified the system to create Monthly Action Statistics on carriers in the monthly action categories.
- Changed the system to allow users to select and display motor carriers in the following MCSIP Levels:
 - o Completed compliance reviews
 - o OOS/Registration Sanctions
 - o Complaint
 - o Carriers who were sent a Warning Letter
 - o Carriers who received a Warning Letter in last 6 Months
 - o Carriers that will be Released from MCSIP
 - o Carriers in a Six Month Hold
 - o Carriers in a Six-Month Improvement Period.
 - o MCSIP Statistics (total number of carriers in each MCSIP Level mentioned above.
- Changed the system to allow users to sort by any combination using the following fields:
 - SafeStat Category
 - SafeStat Score
 - o DOT Number
 - o Legal Name
 - o County Code
 - Action Date
- Provided the ability to display carriers designated to receive Warning Letters who have undeliverable addresses.
- Provided the ability to remove carriers from the "Carrier Will be Sent a Warning Letter" MCSIP Level who have had undeliverable addresses for over 6 months as follows:

- o Carriers at SafeStat Category A, B or C from the current SafeStat run will be moved to the "Complete CR" MCSIP Level
- o Carriers not at SafeStat Category A, B or C from the current SafeStat run will be moved to the "Carrier Will Be Released From MCSIP" level.
- Provided the ability to view safety investigator (SI) assignment in the Compliance Review section of the MCSIP Screens.

If you have any questions regarding these changes, please contact the MCMIS Team.